Survey of LGU Research Offices re: DOE / EERE and LGU interactions

1. What have been your general experiences with DOE/EERE solicitations?
   - How active has your intuition been in seeking DOE funding?

<table>
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<tr>
<th>Year</th>
<th>Number of Proposals submitted</th>
<th>Value in $ of proposals submitted</th>
<th>Number of successful DOE/EERE Awards</th>
<th>Value in $ of awards</th>
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<tbody>
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<td>2001</td>
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2. Terms and conditions for Intellectual Property (IP) Ownership. (Premise: It is widely thought that difficulties exist between DOE/EERE and the LGU research offices regarding the inability to reach agreement in a timely fashion when signing Mou’s or reaching agreement on contracts/grants due to differences regarding ownership and handling of IP. It is thought that this is particularly true when grants and contracts involve DOE, an LGU, and a private sector (industry) partner in 3 part arrangements.

The following questions are designed to learn if this is the case and if a generic MOU regarding IP issues would ease development of successful agreements.

   - Are IP issues making it difficult to reach agreement with DOE/EERE on grants and contracts?
   - If so, would your university be agreeable to the proposed Terms and Conditions as outlined in the attached LICENSING OF SUBJECT INVENTIONS, drafted by a NASULGC committee charged with increasing DOE/EERE and university collaboration, be acceptable? If not, what T/C would be acceptable to your institution?
   - Indicate what minimum terms and conditions your university would require to reach agreement with DOE/EERE?

3. Have you or your institution identified significant barriers to achieving success with DOE/EERE solicitations?
   - Has your institution or unit experienced an inability to agree to IP terms with DOE/EERE? With a third party, private partner?
   - Timing of solicitations. Has your institution found any concerns relative to the time of notification relative to deadlines?
   - Has availability of institutional capacity in any way limited your ability to apply or compete? If YES, please explain?
   - From your perspective, what is the most effective way to solicit and / or notify your university of opportunities for collaborative research awards from the DOE / EERE office?

4. Other barriers or comments?

Respondent (Name): _____________________ Institution: ___________________
Survey Issues still to be resolved:

- Consider Web site for responses
- June 15 Survey out (outside deadline, July 4th)
- Response to survey – 15 days
- Survey to:
  - AES Directors
  - University Research Deans / Deans of Graduate Schools

- Introductory Email with link to survey.
  - From Peter McGrath or Mort Neufville
  - Forwarded from NASULGC